

Serial No. 09/683,659

Docket No. 1700 RD-26,623

0420

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark Philip D'Evelyn et al.

Group Art Unit:

Serial No.: 09/683,659

Examiner:

Filed: 01/31/02

For HIGH TEMPERATURE HIGH PRESSURE
CAPSULE FOR PROCESSING MATERIALS IN
SUPERCRITICAL FLUIDS



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INFORMATION DISCLOSURE STATEMENT

- ☒ 1 **Pursuant to 37CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D C 20231, on the date indicated below.

Date of Deposit

Type or Print Name

Signature

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☒ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

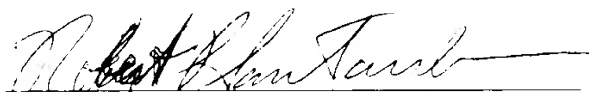
An original and two (2) copies of this document are enclosed.

January 22, 2002

Date

General Electric Company
CRD Patent Docket Rm 4A59
P.O. Box 8, Bldg. K-1
Schenectady, New York 12301
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Respectfully submitted,

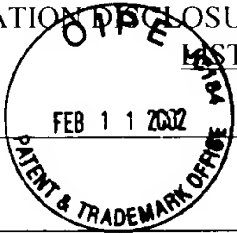


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| FORM PTO-1449 (REV. 7-80) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY. DOCKET NO. RD-26,623 | | SERIAL NO. 09/683,659 | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT LIST OF ITEMS | | | | Applicant Mark Philip D'Evelyn et al. | | | |
| | | | | Filing Date 01/31/02 | | Group | |
| <div style="text-align: center;">  </div> | | | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| *EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
| | A1 | 6,177,057 | 1/23/01 | Purdy | | | |
| | A2 | | | | | | |
| | A3 | | | | | | |
| | A4 | | | | | | |
| | A5 | | | | | | |
| | A6 | | | | | | |
| | A7 | | | | | | |
| | A8 | | | | | | |
| | A9 | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO | |
| | B1 | WO 01/24921 | 4/12/01 | PCT | | X | |
| OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| | C1 | Handbook of Hydrothermal Technology, K. Byrappa and Masahiro Yoshimura. "Apparatus", pp. 82-160, 2001. | | | | | |
| | C2 | Dissertation: Cubic Boron Nitride: Stability of the Domain and new Ways of Elaboration, Translated from French by the Ralph McElroy Co., Custom Division, PO Box 4828, Austin, Texas 78765 USA. | | | | | |
| | C3 | Materials Science, H. Jacobs and D. Schmidt, "High Pressure Ammonolysis in Solid-State Chemistry", Volume 8, Chapter 5, pp. 383-427, 1982. | | | | | |
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| | C5 | American Mineralogist, Mark A. Sneeringer and Bruce Watson, "Milk Cartons and Ash Cans: Two Unconventional Welding Techniques", Volume 70, pp. 200-201, 1985. | | | | | |
| | C6 | Instruments and Experimental Techniques, ES Itskevich, "High-Pressure Cells for Studies of Properties of Solids (Review)", Volume 42, No. 3, pp. 291-302, 1999. | | | | | |
| | C7 | | | | | | |
| | C8 | | | | | | |
| EXAMINER | | | | DATE CONSIDERED | | | |
| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant | | | | | | | |

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